

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/716,837	11/18/2003	Brian S. Appel	10281-013-999	7051
75	90 02/22/2006		EXAM	INER
Thomas D. Ko			MENON, KRISHNAN S	
Morgan, Lewis & Bockius 3300 Hillview Avenue			ART UNIT	PAPER NUMBER
Palo Alto, CA	94304		1723	
			DATE MAILED: 02/22/2000	5

Please find below and/or attached an Office communication concerning this application or proceeding.

				4
		Application No.	Applicant(s)	
		10/716,837	APPEL ET AL.	
	Office Action Summary	Examiner	Art Unit	
		Krishnan S. Menon	1723	
Period f	The MAILING DATE of this communicator Reply	tion appears on the cover sheet wit	h the correspondence address	
WHIC - Exte afte - If NO - Fail Any	CORTENED STATUTORY PERIOD FOR CHEVER IS LONGER, FROM THE MAIL ensions of time may be available under the provisions of 3 or SIX (6) MONTHS from the mailing date of this communic or period for reply is specified above, the maximum stature to reply within the set or extended period for reply will, reply received by the Office later than three months after the patent term adjustment. See 37 CFR 1.704(b).	LING DATE OF THIS COMMUNIC 7 CFR 1.136(a). In no event, however, may a re- cation. bry period will apply and will expire SIX (6) MONT by statute, cause the application to become ABA	CATION.  Iply be timely filed  IFHS from the mailing date of this communication  ANDONED (35 U.S.C. § 133).	
Status				
1)[🛛	Responsive to communication(s) filed of	on 18 November 2003.		
2a)□	•	☐ This action is non-final.		
3)□	Since this application is in condition for closed in accordance with the practice	allowance except for formal matter	· · · · · · · · · · · · · · · · · · ·	its is
Disposit	ion of Claims			
5)□ 6)⊠	Claim(s) 1-17 is/are pending in the app 4a) Of the above claim(s) is/are v Claim(s) is/are allowed. Claim(s) 1-17 is/are rejected. Claim(s) is/are objected to. Claim(s) are subject to restriction	withdrawn from consideration.		
Applicat	ion Papers			
10)	The specification is objected to by the E The drawing(s) filed on is/are: a) Applicant may not request that any objectio Replacement drawing sheet(s) including the The oath or declaration is objected to by	□ accepted or b)□ objected to be n to the drawing(s) be held in abeyand e correction is required if the drawing(s	ce. See 37 CFR 1.85(a). s) is objected to. See 37 CFR 1.1	` '
Priority :	under 35 U.S.C. § 119			
12)□ a)	Acknowledgment is made of a claim for All b) Some * c) None of:  1. Certified copies of the priority doc  2. Certified copies of the priority doc  3. Copies of the certified copies of the application from the International See the attached detailed Office action for	cuments have been received. cuments have been received in Ap he priority documents have been in Bureau (PCT Rule 17.2(a)).	oplication No received in this National Stage	e
Attachmen	• •	<b>∧</b> □	(DTO 442)	
2) 🔲 Notio 3) 🔯 Infor	ce of References Cited (PTO-892) ce of Draftsperson's Patent Drawing Review (PTO- mation Disclosure Statement(s) (PTO-1449 or PTO er No(s)/Mail Date	948) Paper No(s)	ummary (PTO-413) /Mail Date formal Patent Application (PTO-152) 	

Art Unit: 1723

## **DETAILED ACTION**

Claims 1-17 are pending as originally filed

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 1. Claims 1,2,4-10 and 13-17 are rejected under 35 U.S.C. 102(b) as being anticipated by Fischel et al (US 4,871,462).

Claims 1,2 and 17: Fischel'462 teaches a tapered cylindrical casing (see figures) containing a frusto-conical chamber (400-figure 11) having an outer surface covered with a porous membrane (on 400), the casing having inlet and outlet (406,407), the chamber having a hollow spindle (409) which has a second outlet (408), the membrane surface having an annular gap with the inside surface of the casing as claimed (see figure).

Claim 4: speed 5000-6000 rpm (column 8 lines 45-50)

Claims 5,6: constant and variable annular gap – figure 7

Claim 7-9: pore size – see column 10 lines 1-19: shows the membrane pore size effect from 1-120 µm.

Claim 10: pore wall sized to separate sewage sludge – this would be intended use: apparatus is capable of use for this purpose.

Art Unit: 1723

Claims 13, 14: pressure differential – see abstract. 3-4 psi would depend on the intended use of the apparatus. The centrifugal force also would inherently produce this trans-membrane pressure

Claims 15,16: configured and dimensioned for the flow rate: again, intended use; the dimensioning of the apparatus is a scale-up issue for the quantity of feed to be processed.

2. Claims 1-4, 11,12 and 17 are rejected under 35 U.S.C. 102(b) as being anticipated by Fischel et al (US 4,755,300).

[Please note that the apparatus of Fischel'300 and Fischel 462 are the same ('462 is a continuation of '300); and the design values of the Fischel'300 reference is useful in Fischel'462 as well.]

Claims 1,2 and 17: Fischel'300 teaches a tapered cylindrical casing (see figure 2) containing a frusto-conical chamber (14) having an outer surface covered with a porous membrane (18 on 14), the casing having inlet and outlet (40,42), the chamber having a hollow spindle (32) which has a second outlet (34), the membrane surface having an annular gap (21) with the inside surface of the casing as claimed.

Claim 3,4: 10,000 rpm; – see column 24 lines 1-10 for the rpm of the apparatus.

Claims 11,12: taper angle for the cylindrical wall: how to set the design parameters of the apparatus is given in column 23 line 25 – column 25 line 13 and figure 24A and B. The D values in column 25 lines 1-13 in combination with a straight frusto-conical chamber and figure 24 would give a taper angle at 2.5°.

**Art Unit: 1723** 

## Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Krishnan S. Menon whose telephone number is 571-272-1143. The examiner can normally be reached on 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wanda L. Walker can be reached on 571-272-1151. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Krishnan S. Menon Patent Examiner

2/16/06